

# Oversight and Governance for the State-based Marketplace (SBM) Project

## Background

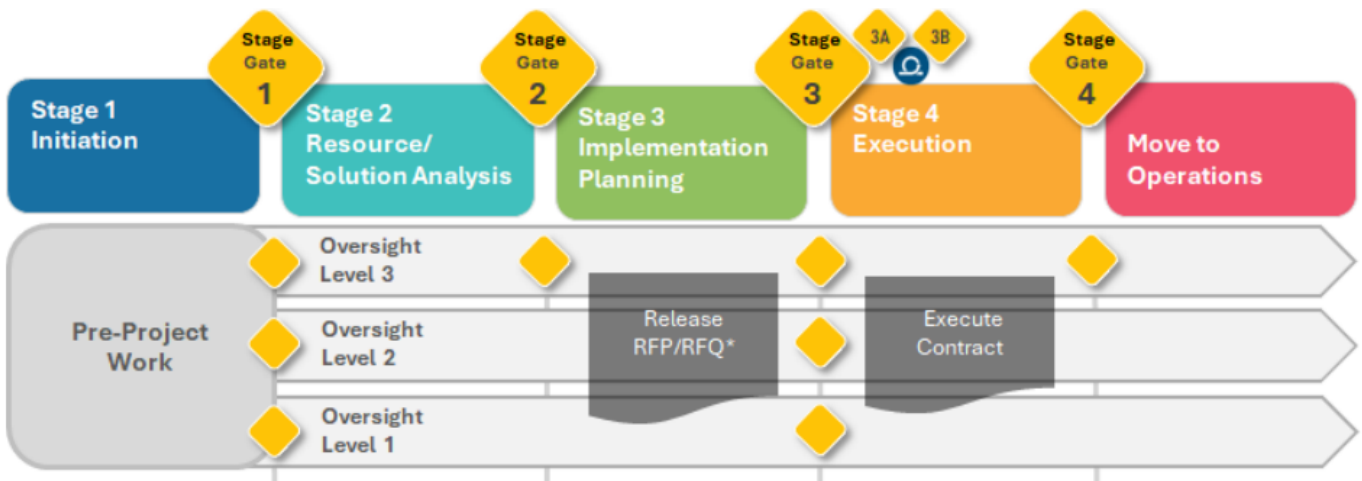
In 2011, [Senate Bill 99](#) established Oregon's health insurance exchange, currently run by the Oregon Health Insurance Marketplace (the Marketplace). Using the federal HealthCare.gov enrollment system, the Marketplace helps individuals and small businesses find and enroll in health and dental insurance coverage, including connecting individuals to financial assistance.


[Senate Bill 65 \(2021\)](#) subsequently transferred the duties, functions, and powers related to the Marketplace from the Department of Consumer and Business Services (DCBS) to Oregon Health Authority (OHA). The Marketplace joined the Health Policy and Analytics Division of OHA.

[Senate Bill 972 \(2023\)](#) requires OHA to transition the Marketplace from a state-based marketplace using the federal platform (SBM-FP) to a state-based marketplace in time for open enrollment for plan year 2027. Specifically, OHA is required to procure and administer both an information technology platform and call center, to replace the federal platform and call center, and provide electronic access to the health insurance exchange in this state by November 1, 2026.

## Overall IT Project Oversight: Stage Gate Process

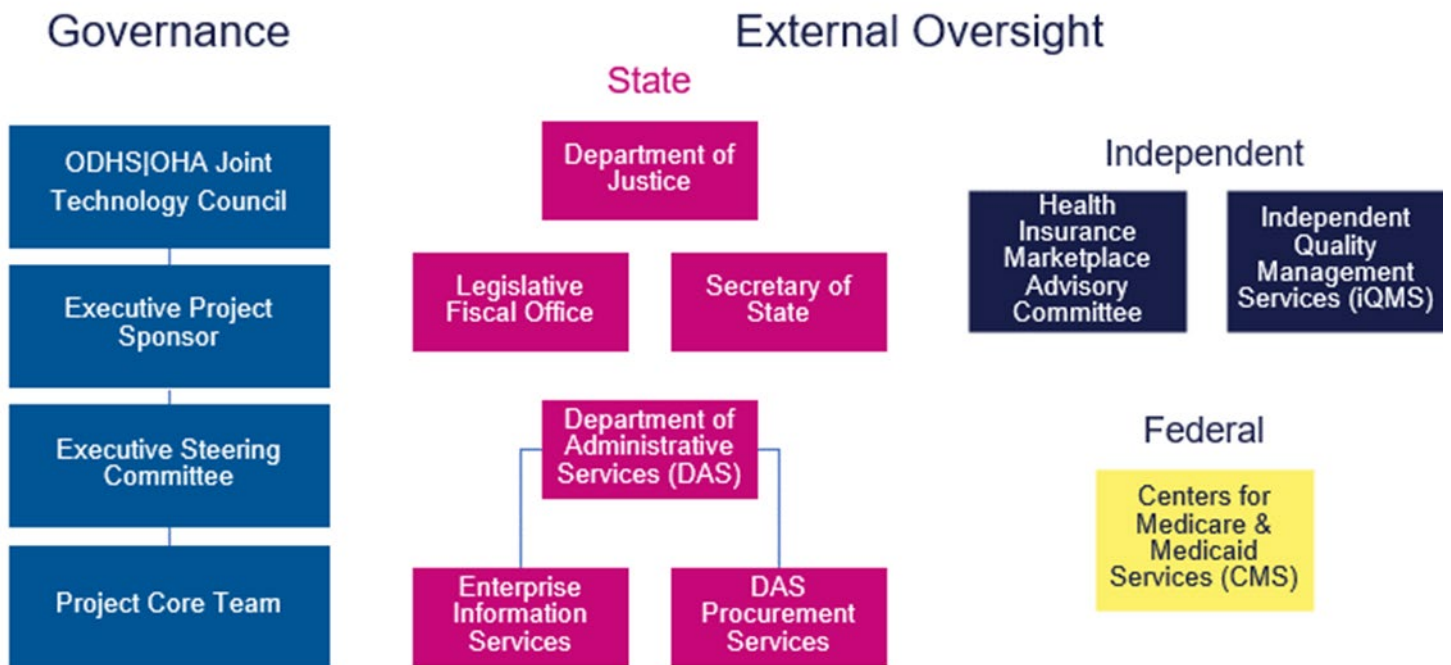
Oregon's [Stage Gate](#) information technology (IT) project oversight structure is managed by Oregon's Enterprise Information Services (EIS), in cooperation with the Legislative Fiscal Office (LFO). The Stage Gate process evaluates projects at certain points throughout the project lifecycle to ensure project readiness to progress to the next stage. Projects must comply with conditions within EIS Stage Gate endorsements and show readiness through sharing of project artifacts.



 Agile/Hybrid: EIS will make a determination regarding incremental 3A/3B gating based on an organization's overall agile maturity score.

\* For Level 1 & 2 projects that don't have a Stage Gate 2, EIS may require approval of certain artifacts or activities before releasing a solicitation.

## Structure



# Governance

## ODHS | OHA Joint Technology Council

- The Oregon Department of Human Services (ODHS) and OHA recognizes that technology investments require a combination of program, technical and external resources. This Joint Technology Governance Council provides executive level governance to prioritize the alignment of investments in the technology portfolio to agency, state, and technology strategies and to maximize business value through strategic technology investments.

## Executive Steering Committee (ESC)

- The [ESC](#) is composed of 14 representatives from OHA, Office of Information Services, Enterprise Information Services, LFO, and Independent Quality Management Services (IQMS). Makes decisions related to the project.
- This governance body is a Stage Gate requirement.

# State Oversight

## Enterprise Information Services

- Ensures that the IT Governance Framework, which includes project oversight and portfolio management of all major IT investments, is implemented. Oversees compliance of agencies and vendors with state security guidelines. Projects may interact with various areas within EIS for Stage Gate oversight, IQMS engagement, and architecture and security reviews.

## Department of Administrative Services (DAS)

- Oversees procurement for contracts over \$5 million.

## Legislative Fiscal Office ([Stage Gate Authority](#))

- Reviews IT-related state agency budget requests, project reports, IQMS Quality Control Reviews, Project Risk Assessments, and Health and Status reports and provides analysis and recommendations to the Oregon Legislature on IT investment initiatives and their progress toward completion.

## Secretary of State (SOS)

- Conducts statutory annual fiscal audits for the Marketplace, including project-related fiscal activity.
- Executes programmatic audits to ensure compliance with governing regulations, statutes, and rules.

## Department of Justice (DOJ)

- Reviews procurement parameters, documents, and contracts.

## Independent Oversight

### Health Insurance Marketplace Advisory Committee (HIMAC)

- The HIMAC consists of 15 members appointed by the governor and confirmed by the Oregon Senate, representing insurers, insurance producers, navigators, health care providers, businesses, consumer advocacy groups, enrollees in health plans, and medical assistance agencies. The Director of the Department of Consumer and Business Services and the Director of the Health Policy Analytics Division of the Oregon Health Authority are ex-officio members.
- HIMAC reviews Marketplace activities and provides feedback from shareholder perspectives. Marketplace statutes require that HIMAC reviews Marketplace budget and assessment changes, and reports to the legislature annually.

### Independent Quality Management Services (IQMS)

- Bluecrane, Inc., as the project's IQMS partner, monitors and reports on project methodologies and activities to verify adherence to expected project management practices and overall project health, while independently identifying risks and issues.
- IQMS is a Stage Gate requirement and is mandatory to be in compliance with Oregon's ORS 276A.223 and EIS Statewide iQMS Policy (Statewide Policy 107-004-030).

## Federal

### Centers for Medicare & Medicaid Services (CMS)

- CMS processes applications for Blueprint for Approval of State-based Health Insurance Exchanges. State must meet milestones to achieve operational readiness assessment and approval to launch a state-based marketplace.

- Conducts various Marketplace program integrity audits and other compliance requirements.

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